

U.S. PTO

LAW OFFICES  
BARRY R. LIPSITZ  
BRADFORD GREEN, BUILDING 8  
755 MAIN STREET  
MONROE, CONNECTICUT 06468

PATENTS, TRADEMARKS, COPYRIGHTS

TELEPHONE: (203) 459-0200  
FAX: (203) 459-0201

BARRY R. LIPSITZ  
DOUGLAS M. McALLISTER

95929 U.S. PTO  
 09/717761  
 11/21/00

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**FILED UNDER 37 C.F.R. §1.53(b)**

Sir:

Transmitted herewith for filing is the patent application of:

[illegible]

(2) **Eric J. SPRUNK**  
7309 Bolero Street  
Carlsbad, California 92009, U.S.A.

Title: **METHODS AND APPARATUS FOR KEYSTREAM GENERATION**

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - 52 pages
- (2) ☒ Eight (8) sheets of formal drawings, together with transmittal letter
- (3) ☒ A check in the amount of \$1,294.00 to cover the ☒ filing fee (\$1,214.00) and/or ☒ Assignment Recordal Fee (\$80 for two Assignments) is enclosed.
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed.

Basic Fee								\$710.00
Multiple Dependent Claims (\$270.)								0
Foreign Language Surcharge (\$130.)								0
	For	No. Filed	-	No. Extra		Rate		0
EXTRA	Total Claims	48	20	28	X	\$18.	=	504.00
CLAIMS	Independent Claims	2	3	0	X	\$80.	=	0
TOTAL FILING FEE							=	\$1214.00

- ☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

**Box Patent Application**  
**Commissioner for Patents**  
**Page 2**

- (4) ☒ Two (2) Declaration and Power of Attorney forms - one signed by inventor QIU November 18, 2000, and one signed by inventor SPRUNK November 16, 2000.
- a. ☒ Newly executed
  - b. ☐ Copy from a prior application
  - c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Small Entity Declaration
- a. ☐ Newly executed
  - b. ☐ Copy from a prior application. Status still proper and desired.

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ Two (2) Assignment documents - one signed by Assignor QIU November 16, 2000, and one signed by Assignor SPRUNK November 16, 2000.
- a. ☒ Newly executed (with \$80.00 recordal fee for two documents) and separate transmittal Forms PTO-1595
  - b. ☐ Copy from a prior application
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EL 628 666 890 US)
- (12) ☐ Other:
- a. ☐

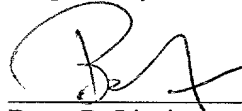
- (13) [ ] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application no.:  
Prior application information:  
Examiner:                      Group/Art Unit:  
Status:

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (14) [ ] Please amend the specification by inserting before the first line the sentence:
- (15) [ X ] **This application claims the benefit of U.S. provisional patent application no. 60/167,185 filed November 23, 1999.**
- (16) [ X ] **Correspondence address:**  
**Barry R. Lipsitz**  
**Law Offices of Barry R. Lipsitz**  
**755 Main Street, Building No. 8**  
**Monroe, CT 06468**  
**Telephone: (203) 459-0200**

Respectfully submitted,



Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Building No. 8  
Monroe, CT 06468  
(203) 459-0200

**ATTORNEY DOCKET NO.: GIC-609**  
Date: November 21, 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENT



In re Application of:

QIU, et al.

Filed: Herewith

Title: **METHODS AND APPARATUS FOR KEYSTREAM GENERATION**

**BOX PATENT APPLICATION**

Commissioner for Patents

Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Mailing Label No: **EL 628 666 890 US**

Date of Deposit: **November 21, 2000.**

I hereby certify that the attached:

- ☒ Check in the amount of \$1,294.00 (filing fee and Assignment recordal fee)
- ☒ Application Transmittal Letter - 3 pages
- ☒ Patent Application Specification, including Abstract and claims - 52 pages
- ☒ Eight (8) sheets of formal drawings, together with transmittal letter
- ☒ Two (2) Declaration and Power of Attorney forms
- ☒ Two (2) Assignments, together with PTO Forms 1595

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to **BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231**

Cathy Dunne

Typed or printed name of person mailing paper or fee

Signature of person mailing paper or fee

Respectfully submitted,

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
Law Offices of Barry R. Lipsitz  
755 Main Street, Bldg. No. 8  
Monroe, CT 06468  
(203) 459-0200

Date: November 21, 2000

Attorney Docket No.: GIC-609

**METHODS AND APPARATUS FOR KEYSTREAM GENERATION**

This application claims the benefit of U.S. provisional patent application no. 60/167,185 filed on November 23, 1999.

5

**BACKGROUND OF THE INVENTION**

The present invention relates generally to the fields of security and cryptography. Methods and apparatus for the generation of a random cryptographic one way function (i.e. a keystream) for use in  
10 encrypting or decrypting binary data are provided. Binary data may comprise digitized video or audio, as well as Internet Protocol or other pure data services. Although the invention has particular applicability in controlling access to premium services in cable and  
15 satellite television systems, it is also applicable to the protection of other information which is communicated in electronic form.

More particularly, the present invention provides a non-linear keystream generation algorithm using  
20 multiple feedback shift registers. The feedback shift registers may be constructed utilizing an advanced mathematical construct called an extended Galois Field  $GF(2^m)$ . The keystream is generated as a non-linear function of the outputs of the multiple feedback shift  
25 registers, which may be a combination of static

feedback shift registers and dynamic feedback shift registers. Dense primitive polynomials with many coefficients may be used to produce a cryptographically robust keystream for use as an encryption or decryption  
5 key.

One way that binary data may be scrambled (encrypted) is by processing the binary data with a keystream (cryptographic key) to produce ciphertext (encrypted data). Keystreams are based on a sequence of  
10 bits that can be generated by pseudorandom sequences. The ciphertext can then be decrypted using an identical keystream. Data content owners want technology used in copy protection key derivation to be unique and difficult to duplicate. To achieve this end, binary  
15 data can be processed through a hash function by using that data as the input to a cryptographic One Way Hash Function, and by using the output of that Function as an encryption key for other binary data.

Commonly assigned U.S. patent no. 4,860,353  
20 describes a dynamic feedback arrangement scrambling technique (DFAST) keystream generator. DFAST utilizes a dynamic feedback shift register, the structure of which is varied by a polynomial code signal. The polynomial code signal is varied in accordance with the content of  
25 data bits shifted from a predetermined register stage of the feedback shift register.

It would be advantageous to provide for generating a keystream having enhanced cryptographic and ease-of-implementation features as compared to those provided by DFAST and other prior art keystream generators.

5        It would be further advantageous to provide for keystream generation utilizing multiple feedback shift registers constructed using an extended Galois Field GF ( $2^m$ ). Extended Galois Field mathematics is well-suited to implementation in software systems. It would be  
10 still further advantageous to provide for keystream generation where the structure of the feedback shift registers are also varied by a polynomial code signal. It would be even further advantageous to provide for keystream generation using several randomization (e.g.,  
15 permutation) stages to combine data bits from predetermined register stages of the feedback shift registers in a non-linear manner.

The present invention provides the foregoing and other advantages. More specifically, the present  
20 invention provides for an extension and improvement of the DFAST technology described in commonly assigned U.S. patent no. 4,860,353. An improved dynamic feedback arrangement scrambling technique in accordance with the invention (sometimes designated herein as "DFAST2"),  
25 provides a keystream with enhanced cryptographic and ease-of-implementation features as compared to DFAST. The present invention is suitable for use with a cable

television system or hosts with point of deployment (POD) capability. The present invention is particularly suited for use with OpenCable™ set top boxes and PODs developed by Cable Television Laboratories, Inc.

- 5 (CableLabs™) of Colorado, USA, and is incorporated into the January 2000 POD Copy Protection Standard.



SUMMARY OF THE INVENTION

The present invention provides methods and apparatus for the generation of a cryptographic one way function that produces an output that appears random  
5 for use in encrypting or decrypting binary data. More particularly, the present invention provides a non-linear key generation algorithm using multiple feedback shift registers. A keystream is typically a long series of randomized bits used to encrypt or decrypt a stream  
10 of data using the exclusive OR operation. A keystream generator is the source of these bits, and the first set of bits output from this keystream generator can be used as an actual encryption key of determinate size. The term "key" can thus mean a fixed set of bits (e.g.  
15 56 bits) output from a keystream generator. The feedback shift registers may be constructed utilizing an advanced mathematical construct called an extended Galois Field  $GF(2^m)$ . The key is generated as a non-linear function of the outputs of the multiple feedback  
20 shift registers, which may be a combination of static feedback shift registers and dynamic feedback shift registers. Dense primitive polynomials with many coefficients may be used to produce a cryptographically robust key for use as an encryption or decryption key.  
25 In an illustrative embodiment of the invention, a key is generated using multiple feedback shift

registers. Each feedback shift register has input, intermediate, and output stages through which data bits are shifted serially in response to a clock signal.

Data bits from predetermined register stages of

5 multiple feedback shift registers are provided to a first randomization stage. The output of the first randomization stage is provided to a second randomization stage. The output of the second randomization stage and data bits from other

10 predetermined register stages of the feedback shift registers are provided to at least one additional randomization stage. The data bits are permuted at each randomization stage and the final randomization stage output provides the keystream. The last 56 bits  
15 of keystream may be used as the final key.

In a preferred embodiment, a third randomization stage may be the final randomization stage.

The structure of at least one of the feedback shift registers may be varied in response to a  
20 polynomial code signal generated by a polynomial code signal generator. In addition, the polynomial code used to generate the polynomial code signal may be varied.

The feedback shift registers may comprise a plurality of dynamic feedback shift registers and at  
25 least one static feedback shift register.

In a preferred embodiment, the feedback shift registers may comprise a first dynamic feedback shift

register, a second dynamic feedback shift register, and a static feedback shift register. Seed data may be input into an input buffer. A first portion of the seed data from the input buffer may be provided to the first  
5 dynamic feedback shift register and a second portion of the seed data from the input buffer may be provided to the second dynamic feedback shift register. A third portion of the seed data from the input buffer may be provided to the static feedback shift register.

10       The data bits of the dynamic feedback shift registers may be shifted serially from each register stage in response to a clock signal. A number of finite field adders may be arranged between predetermined pairs of the register stages of the dynamic feedback  
15 shift registers, such that one of the inputs to each adder is provided from the preceding register stage and the other input of each adder is fed back from the output terminal of the output stage via a finite field multiplier.

20       The first randomization stage may comprise a randomization table (e.g., a permutation table) for permuting data bits from predetermined register stages. The second randomization stage may comprise a non-linear mixing function to combine the output of the  
25 second randomization stage and data bits from other predetermined register stages of the feedback shift registers. The third randomization stage may comprise

multiple non-linear S-Boxes. The S-Boxes may be 8\*8 S-Boxes. The third randomization stage may comprise 256 non-linear 8\*8 S-Boxes.

5 In a preferred embodiment, the feedback shift registers may be constructed using an extended Galois field ( $GF(2^m)$ ). For example, the extended Galois field ( $GF(2^8)$ ) may be used. The polynomials used for the feedback shift registers may be primitive and irreducible to assure maximum length output sequences.

10 In an alternate embodiment, the first randomization stage may comprise multiple randomization tables for permuting data bits from predetermined register stages. For example, eight randomization tables may be provided in the first randomization stage. The data bits from the feedback shift registers may be multiplexed prior to input into the first randomization stage.

20 In a further embodiment, the output from the third randomization stage may be provided to a pre-keystream register. Alternate bits of the output from said pre-keystream register may be provided to a select chain buffer. The output from the select chain buffer may be provided to a decoding logic unit, which decoding logic unit decodes a particular polynomial for use in  
25 generating a polynomial code signal, said polynomial code signal being provided to at least one of the feedback shift registers. The remaining bits of the

output from said pre-keystream register may be provided to a keystream register. The output of the keystream register provides the keystream. The third randomization stage may comprise multiple non-linear S-Boxes.

Certain output of the S-Boxes may be provided to a codestream register. This output may be clocked through the codestream register and added to data bits shifted from at least one of the feedback shift registers via a non-binary adder to produce feedback data bits. The feedback data bits may be provided to the input stage and predetermined intermediate stages of at least one of the feedback shift registers.

Use of a 192 bit shared seed input is suggested, but other input key lengths can also be used. Use of a 192 bit input will generate a 56 bit key from the output keystream. The 192 bit input may be derived from a 128 bit input by duplicating half the bits.

The use of Galois Field  $2^8$  operations is well-suited for implementation on CPUs that use 8, 16, or 32 bit instruction widths. Although the invention is described for implementation in software, it can easily be adapted for implementation in hardware.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows a block diagram representation of the present invention;

Figure 2 shows an illustrative embodiment of the  
5 present invention;

Figure 3 shows an alternate illustrative embodiment of the present invention;

Figure 4 shows an implementation of a static feedback shift register in accordance with the present  
10 invention;

Figure 5 shows an implementation of a dynamic feedback shift register in accordance with the present invention; and

Figure 6 shows a further implementation of a dynamic  
15 feedback shift register in accordance with the present invention.

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides methods and apparatus for key generation using multiple feedback shift registers. The feedback shift registers may be  
5 constructed utilizing an advanced mathematical construct called an extended Galois Field  $GF(2^m)$ . The key is generated as a non-linear function of the outputs of the multiple feedback shift registers, which may be a combination of static feedback shift registers and dynamic feedback shift registers. Dense primitive  
10 polynomials with many coefficients may be used to produce a cryptographically robust keystream for use as an encryption or decryption key.

The keystream may be pseudorandomly generated from  
15 a shared seed key, with the intent that the keystream appears random to a computationally bounded adversary. The shared seed key value may be derived, e.g., from a host authentication and key exchange process. Use of a 192 bit shared seed input is suggested, but other input  
20 key lengths can also be used. Use of a 192 bit input will generate a 56 bit keystream. The 192 bit input may be derived from a 128 bit input by duplicating half the bits.

In an illustrative embodiment of the invention as  
25 shown in Figure 1, a keystream 100 is generated using multiple feedback shift registers. Each feedback shift

register has input, intermediate, and output stages through which data bits are shifted serially in response to a clock signal. Data bits 10 from predetermined register stages of multiple feedback shift registers are provided to a first randomization stage 20. The output of the first randomization stage 20 is provided to a second randomization stage 30. The output of the second randomization stage 30 and data bits 12 from other predetermined register stages of the feedback shift registers are provided to at least one additional randomization stage. The data bits are permuted at each randomization stage and the output of a final randomization stage 40 provides the keystream 100.

In Figure 1, three randomization stages 20, 30, and 40 are shown, with the third randomization stage being the final randomization stage 40. However, those skilled in the art will appreciate that any number of randomization stages may be provided, depending on the implementation and the cryptographic integrity of the keystream 100 desired.

The structure of at least one of the feedback shift registers may be varied in response to a polynomial code signal 90 generated by a polynomial code signal generator (e.g., decoding logic unit 80). In addition, the polynomial code used to generate the polynomial code signal 90 may be varied.



The feedback shift registers (FSR) may comprise a plurality of dynamic feedback shift registers (DFSR) and at least one static feedback shift register (SFSR). The two DFSRs may be arranged in parallel. The

5     keystream 100 is generated as a nonlinear function of the outputs of both the SFSR and the DFSRs. The DFSRs are dynamic shift registers whose structure is varied by the polynomial code signal 90. The polynomial code signal 90 may itself be varied in accordance with data  
10     bits shifted from a predetermined register stage. It is substantially more difficult to duplicate the keystream 100 if the polynomial code varies. The bits that cause the polynomial code 90 to vary are preferably not included in the keystream 100.

15     In a preferred embodiment as shown in Figure 2, the feedback shift registers may comprise a static feedback shift register A (generally designated 110), a first dynamic feedback shift register B (generally designated 120), and a second dynamic feedback shift  
20     register C (generally designated 130). Seed data may be input into an input buffer 140. The contents of the input buffer 140 may be loaded in parallel into the shift registers 110, 120, and 130 to initialize keystream generation. A first portion of the seed data  
25     from the input buffer 140 may be provided to the first dynamic feedback shift register B and a second portion of the seed data from the input buffer may be provided

to the second dynamic feedback shift register C. A third portion of the seed data from the input buffer may be provided to the static feedback shift register A.

5           In the embodiment shown in Figure 2, the feedback shift registers 110, 120, and 130 (registers A, B, and C respectively) may be constructed using an extended Galois field ( $GF(2^m)$ ). As well known in the art, a Galois field is a mathematical field extension obtained  
10 by considering the coefficients and roots of a given polynomial. The polynomials used for the feedback shift registers in the present invention may be primitive and irreducible. Each such shift register would generate a sequence with the maximal length  $\text{max\_length} = 2^{(m \cdot L)} - 1$   
15 (where L is the number of shift register stages).

          In the Figures, RGA denotes output from a stage of register A (110), RGB denotes output from a stage of register B (120), and RGC denotes output from a stage of register C (130). For example, Figure 2 shows  
20 register A having output from L register stages ( $RGA_0, RGA_1, RGA_2, \dots, RGA_{L-1}$ ).

          The data bits of the feedback shift registers 110, 120, and 130 may be shifted serially from each register stage in response to a clock signal. A number of finite  
25 field adders may be arranged between predetermined pairs of the register stages of the feedback shift registers 110, 120, and 130, such that one of the

inputs to each adder is provided from the preceding register stage and the other input of each adder is fed back from the output terminal of the output stage via a finite field multiplier. An example of the structure of each feedback shift register 110, 120, and 130 of Figure 2 is described in detail below in connection with Figures 4, 5, and 6. It is noted that the invention may utilize three or more stages of non-linear functions to combine data bits shifted from predetermined register stages of the feedback shift registers.

The first randomization stage (e.g., first randomization stage 20 of Figure 1) may comprise one or more randomization tables (e.g., "F1 tables" 150-157) for permuting data bits from predetermined register stages. In the example shown in Figure 2, eight F1 tables 150-157 are used. The eight F1 tables are shown for purposes of example only, and those skilled in the art will appreciate that the invention can be implemented using any number of F1 tables (or similar non-linear permutation functions) in the first randomization stage. The F1 tables 150-157 may be fixed substitution tables used to map data bits shifted from various feedback shift registers to a different value. The inputs to each F1 table may be separated by at least one exclusive-or gate in their source feedback shift register structure.

The data bits from selected register stages of the feedback shift registers may be multiplexed (e.g., at multiplexers 170-173) prior to input into the F1 tables 150-157. Selection by the multiplexers 170-173 between  
 5 data bits shifted from different predetermined register stages is controlled in response to a data bit shifted from a different predetermined register stage. For example, in Figure 2, two output bits ( $RGA_j$  and  $RGA_i$ ) are shifted directly from different stages of register  
 10 A to multiplexer 170. One of  $RGA_j$  and  $RGA_i$  is selected by multiplexer 170 in response to a data bit shifted from register C ( $RG C_k$ ). Similar operations take place at multiplexers 171, 172, and 173. The output of multiplexers 170, 171, 172, and 173 is provided to F1  
 15 tables 150, 153, 154, and 157 respectively.

F1 table 151 receives input directly from a stage of register A ( $RGA_m$ ). F1 table 152 receives input directly from a stage of register B ( $RGB_p$ ). F1 table 155 receives input directly from a stage of register A  
 20 ( $RGA_{mm}$ ). F1 table 156 receives input directly from a stage of register B ( $RGB_{pp}$ ).

The second randomization stage (e.g., second randomization stage 30 of Figure 1) may comprise one or more non-linear mixing functions (e.g., 161, 162) to  
 25 combine the output of the second randomization stage and data bits from other predetermined register stages of the feedback shift registers. In the example shown

in Figure 2, two non-linear mixing functions 161, 162 are used for purposes of example only. Those skilled in the art will appreciate that the invention can be implemented using any number of non-linear mixing functions (or similar non-linear permutation functions) in the second randomization stage.

The non-linear logic used by the non-linear mixing functions 161, 162 combines at least 4 tap positions, some of which are from the F1 table outputs, and several others directly from predetermined FSR stages. For example, Figure 2 shows non-linear mixing function 161 as having input  $RGB'_{i'/j'}$  from F1 table 153, input  $RGB'_p$  from F1 table 152, input  $RGA'_m$  from F1 table 151, and input  $RGA'_{i/j}$  from F1 table 150, as well as inputs  $RGA_q$  and  $RGB_q$  directly from a stage of register A and a stage of register B respectively. In such an instance, the non-linear mix function may be  $g0 = RGA'_{i/j} \otimes RGB'_{i'/j'} \oplus RGA_q \otimes RGB'_p \oplus RGA'_m \otimes RGB_q$ . In this equation,  $\otimes$  represents the field element multiplication operation, and  $\oplus$  represents the field element addition operation (bit-wise XOR).

Non-linear mix function 162 is shown as having input  $RGA'_{ii'/jj'}$  from F1 table 154, input  $RGA'_{mm}$  from F1 table 155, input  $RGB'_{pp}$  from F1 table 156 and input  $RGB'_{ii'/jj'}$  from F1 table 157, as well as inputs  $RGA_n$  and  $RGB_n$  directly from a stage of register A and a stage of register B respectively. These inputs may be combined

at the non-linear mix function 162 by function  $g1 =$   
 $RGA'_{ii/jj} \otimes RGB'_{ii'/jj'} \oplus RGA_n \otimes RGB'_{pp} \oplus RGA'_{mm} \otimes RGB_n.$

The third randomization stage (e.g., Final  
 Randomization Stage 40 of Figure 1) may comprise  
 5 multiple non-linear substitution boxes (S-Boxes) 165.  
 The S-Boxes 165 may be 8\*8 S-Boxes.

In a preferred embodiment, the third randomization  
 stage may comprise 256 non-linear dynamic 8\*8 S-boxes  
 165 (e.g.,  $S_0, S_1, S_2, \dots, S_{255}$ ). A loop counter is  
 10 provided to count  $n$  (number of FSR cycles) to ensure  
 that every element in the dynamic S-Box 165 changes  
 during operation. At least one predetermined stage of  
 two of the extended Galois field shift register  
 structures directly provides a randomized byte of S-Box  
 15 address signals (shown as  $RGB_x$  and  $RGA_y$  input into S-Box  
 RAM 166). The already highly randomized outputs ( $g0$  and  
 $g1$ ) from the non-linear mixing functions 161, 162 are  
 added to the  $n$ th element of the S-Box 165, and to the  
 element of S-Box 165 indexed by  $RGB_x/RGA_y$  directly from  
 20 the FSR structures. This generates highly randomized  
 outputs  $Y0'$  and  $Y1'$ . The S-box elements indexed by  $n$   
 and  $Y0'/Y1'$  are then swapped so that every element in  
 ( $S_0, S_1, \dots, S_{255}$ ) is affected during the first 256  
 cycles of FSR shifting operations.

25 In a further embodiment, the output (pre-keystream  
 45) from the third randomization stage (e.g., S-Box  
 165) may be provided to a pre-keystream register 50.

Alternate bits of the output from said pre-keystream register 50 may be provided to a select chain buffer 70. The output from the select chain buffer 70 may be provided to a decoding logic unit 80, which decoding  
 5 logic unit 80 decodes a particular polynomial for use in generating a polynomial code signal 90, said polynomial code signal 90 being provided to at least one of the dynamic feedback shift registers 120, 130. The remaining bits of the output from said pre-  
 10 keystream register 50 may be provided to a keystream register 60. The output of the keystream register 60 provides the keystream 100.

The pre-keystream 45 may be clocked into the pre-keystream register 50 at the system clock rate.

15 Alternate bytes of the pre-keystream 45 may be clocked at one-half the system clock rate into the select chain buffer 70 by an inverted CLOCK/2 signal. The remaining bytes of the pre-keystream 45 may be clocked at one-half the system clock rate into the keystream register  
 20 60 by an uninverted CLOCK/2 signal. This avoids placing contiguous bytes of the pre-keystream 45 into the keystream 100. This also assures that keystream bytes are not used as signals to control selection of the polynomial code signals 90. The pre-keystream register  
 25 50 is the final output creation stage and produces 8 bits of output data per clock cycle of uninverted CLOCK/2.

The decoding logic unit 80 uses the bits stored in the select chain buffer 70 to decode a particular polynomial, setting a signal corresponding to a particular polynomial true while setting all other  
 5 polynomial signals false.

The decoding logic unit 80 may use a fixed byte substitution table (F2 table 81) to provide up to  $2^m$  different polynomial code signals 90. The F2 table 81 may be a fixed substitution table similar to the F1  
 10 tables 150-157.

Certain output of the S-Boxes 165 may be provided to a codestream register 175. This output may be clocked through the codestream register 175 (codestream 48) and added to data bits shifted from at least one of  
 15 the feedback shift registers 120, 130 via a non-binary adder to produce feedback data bits. The feedback data bits may be provided to the input stage and predetermined intermediate stages of at least one of the feedback shift registers 120, 130. The codestream  
 20 48 is distinct from the pre-keystream 45 and only affects the dynamic FSR structures (120 and 130) and not the static FSR structure (110).

Figure 3 illustrates a specific configuration of the Figure 2 embodiment of the invention where the  
 25 polynomials used for the FSRs are constructed over extended Galois Field  $GF(2^8)$ , and all polynomials are selected to be primitive and irreducible in order to



generate a maximum length sequence. The degree of these polynomials is 8 so there will be 8 stages of 8-tuple shift register cells used in each FSR. Since the sparseness of the terms for the polynomial can be a source of cryptographic weakness, dense primitive polynomials with many coefficients are used. The output from each stage of each FSR may have 8 bits (one byte).

The three FSRs 110, 120, and 130 require 192 total bits (18 bytes) of information to initialize them. These 192 bits are drawn from 128 bits of input, with half the 128 bits duplicated to provide 192 bits of input to input buffer 140. Input buffer 140 provides 64 bits of input to each FSR 110, 120, and 130.

As shown in the table below, a 128 bit (16 byte) input is used to initialize 192 bits (18 bytes) of FSR state. The input bytes are numbered from 0 to 15, and are used to initialize the three FSRs A, B, and C.

**Table 1: Mapping Input to FSRs A, B, and C**

Input Byte	FSR Bytes That Are Initialized Using this Byte			
	FSR	Byte	FSR	Byte
0	B	0	A	0
1	B	1	A	1
2	B	2	A	2
3	B	3	A	3
4	B	4		
5	B	5		
6	B	6		
7	B	7		
8	C	0	A	4
9	C	1	A	5
10	C	2	A	6
11	C	3	A	7
12	C	4		
13	C	5		
14	C	6		
15	C	7		

A 128 bit input data seed is stored in the input buffer 140. All of the bits of the input seed are loaded in parallel from the input buffer into dynamic FSR structures B and C. The other third of the input (bytes 0-3 and 8-11) is loaded in parallel into the static FSR structure A.

As each FSR constructed in the extended Galois Field  $GF(2^8)$  has 8 stages, input data for each stage should be 64 bits in size (since the actual input size

is 128 bits, the above processing step is needed to reduce the information from 192 to 128 bits in size.)

As discussed above in connection with Figure 2, each pre-keystream byte 45 is generated from a combination of a three-stage non-linear function process. In the first randomization stage, certain predetermined data bytes shifted from two separate feedback shift registers (A and B) are permuted by the F1 tables 150-157. In the second randomization stage, non-linear functions 161, 162 are used to mix data bytes from different F1 tables as well as data bytes directly from predetermined stages of registers A and B. In a third randomization stage, an 8\*8 S-box 165 (initialized to  $S_0 = 0$ ,  $S_1 = 1$ , . . .  $S_{255} = 255$ ) is used to permute the outputs from the second-level non-linear function, along with 2 bytes of data from registers A and B.

Static FSR structure 110 (register A) and two dynamic FSR structures 120 and 130 (registers B and C) are shown in Figure 4, Figure 5, and Figure 6 respectively. Each of these structures includes L stages, where  $L = 8$ , with stage 0 being the input stage, stage 7 being the output stage, and stage 1 through 6 being the intermediate stages. All the polynomials used in the explanations herein are selected for illustration purposes. It should be appreciated that various other polynomials may be used

to implement the invention.

All the FSRs are constructed over the extended Galois Field,  $GF(2^8)$ . The elements in  $GF(2^8)$  are generated by:

$$5 \quad F(X) = X^8 + X^4 + X^3 + X^2 + 1 = [1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 0 \ 1]$$

Where  $X$  is a primitive element of  $GF(2^8)$ . Addition and multiplication operations within  $GF(2^8)$  are also illustrated in Figure 4 at 117.

In the static feedback register 110 (structure A) shown in Figure 4, data bits are shifted serially from each stage in response to a clock signal applied to the CLK terminal. Data bytes shifted from the register stages are provided respectively at the output terminals  $RGA_0$  through  $RGA_7$ . A number of finite field adders 111-116 are respectively located between predetermined pairs of register stages. In Figure 4, finite field adders are located between Stage 0 and Stage 1 (111), Stage 1 and Stage 2 (112), Stage 3 and Stage 4 (113), Stage 4 and Stage 5 (114), Stage 5 and Stage 6 (115), and Stage 6 and Stage 7 (116). No finite field adder is located between Stages 2 and 3. One of the inputs to each adder 111-116 is provided from the preceding register stage and the other input to each adder is fed back from output terminal  $RGA_7$  of the eighth output stage via finite field multipliers 91-97 respectively.

The function of a non-binary adder (XOR) and a non-binary multiplier at is shown generally at 117.

The structure of register A has a fixed, non-dynamic polynomial code and coefficients. The

5 polynomial code of the static FSR structure of register A is not varied in response to the polynomial code signal. As shown in Figure 4 the polynomial used for A register is:

Register Structure Poly\_A =  $x^8 \oplus \alpha^{212} \otimes x^7 \oplus$   
 10  $\alpha^{147} \otimes x^6 \oplus \alpha^{194} \otimes x^5 \oplus \alpha^{47} \otimes x^4 \oplus 0 \otimes x^3 \oplus$   
 $\alpha^{38} \otimes x^2 \oplus \alpha^{229} \otimes x \oplus \alpha^{74}$ , where  $\alpha(X) = \alpha = X$  to  
 obtain the 8-tuple  $j_7X^7 + j_6X^6 + j_5X^5 + j_4X^4 + j_3X^3$   
 $+ j_2X^2 + j_1X + j_0$ . The values for the individual  
 coefficient multipliers are as follows:  
 15  $\alpha^{212} = \alpha^6 + \alpha^5 + \alpha^4 + \alpha^3 + 1 = [0 \ 1 \ 1 \ 1 \ 1 \ 0 \ 0 \ 1]$   
 $\alpha^{147} = \alpha^5 + \alpha^3 + 1 = [0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 1]$   
 $\alpha^{194} = \alpha^5 + \alpha^4 + \alpha = [0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 0]$   
 $\alpha^{47} = \alpha^5 + \alpha^2 = [0 \ 0 \ 1 \ 0 \ 0 \ 1 \ 0 \ 0]$   
 $\alpha^{38} = \alpha^7 + \alpha^4 + \alpha^2 = [1 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0]$   
 20  $\alpha^{229} = \alpha^6 + \alpha^5 + \alpha^4 + \alpha^3 + \alpha = [0 \ 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0]$   
 $\alpha^{74} = \alpha^7 + \alpha^3 + 1 = [1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 1]$

In the dynamic feedback shift register structures B and C (120 and 130), as shown in Figures 5 and 6  
 respectively, data bits are shifted serially in  
 25 response to a clock signal, and they are also shifted

in accordance with a polynomial code signal 90  
connected from the output stage into the input stage.  
As discussed in connection with Figure 4, a number of  
logic elements are respectively located between  
5 predetermined pairs of register stages of registers B  
and C. The logic elements process a data bit shifted  
from the preceding stage with the data bit feedback  
from the output stage, also in accordance with a  
polynomial code signal 90.

10 In the B-register and C-register structures, a  
polynomial code signal from the decoding logic unit is  
applied to select one set of polynomial coefficients  
(i.e. a different set of extended Galois Field  
multiplier values) from two sets of polynomial  
15 coefficients.

For example, in the dynamic feedback register  
structure B shown in Figure 5, data bits are shifted  
serially from each stage in response to a clock signal  
applied to the CLK terminal. Data bytes shifted from  
20 the register stages are provided respectively at the  
output terminals  $RGB_0$  through  $RGB_7$ . A number of finite  
field adders 121-127 are respectively located between  
each pair of register stages. One of the inputs to each  
adder 121-127 is provided from the preceding register  
25 stage and the other input to each adder is fed back  
from output terminal  $RGB_7$  of the eighth output stage  
via one of two sets of finite field multipliers (e.g.,

one set includes finite field multipliers 200, 202, 204, 205, 206, 208, 210, 212, and 214; the second set includes 201, 203, 205, 207, 209, 211, 213, and 215). Which set of finite field multipliers is used depends  
 5 on the polynomial selected in response to the polynomial code 90 (from the decoding logic unit 80 of Figure 3).

The structure of dynamic feedback shift register B shown in Figure 5 uses only two polynomials. The least  
 10 significant bit (lsb) of Decoding Logic Unit 80 output is used to select one of the following polynomials from the dynamic FSR structure B:

Poly\_B0 =  $x^8 \oplus \alpha^{92} \otimes x^7 \oplus \alpha^{229} \otimes x^6 \oplus \alpha^5 \otimes x^5 \oplus \alpha^{95} \otimes x^4 \oplus \alpha^{84} \otimes x^3 \oplus 0 \otimes x^3 \oplus \alpha^{195} \otimes x$   
 15  $\oplus \alpha^{176}$ , where  $\alpha(X) = \alpha = X$  to obtain the 8-tuple  $j_7X^7 + j_6X^6 + j_5X^5 + j_4X^4 + j_3X^3 + j_2X^2 + j_1X + j_0$ . The values for the individual coefficient multipliers are as follows:

$$\begin{aligned} \alpha^{92} &= \alpha^6 + \alpha^4 + \alpha^3 + \alpha + 1 = [0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 1] \\ 20 \quad \alpha^{229} &= \alpha^6 + \alpha^5 + \alpha^4 + \alpha^3 + \alpha = [0 \ 1 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0] \\ \alpha^5 &= \alpha^5 = [0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0] \\ \alpha^{95} &= \alpha^7 + \alpha^6 + \alpha^5 + \alpha = [1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0] \\ \alpha^{84} &= \alpha^6 + \alpha^5 + \alpha^3 + \alpha + 1 = [0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 1] \\ \alpha^{195} &= \alpha^6 + \alpha^5 + \alpha^2 = [0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 0 \ 0] \\ 25 \quad \alpha^{176} &= \alpha^7 + \alpha^6 + \alpha^5 + \alpha + 1 = [1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1] \end{aligned}$$

The second polynomial used in the structure B is:

$$\text{Poly\_B1} = x^8 \oplus \alpha^{22} \otimes x^7 \oplus \alpha^{47} \otimes x^6 \oplus 0 \otimes x^5 \oplus \alpha^{230} \otimes x^4 \oplus \alpha^{94} \otimes x^3 \oplus \alpha^{202} \otimes x^2 \oplus \alpha^{28} \otimes x \oplus \alpha^{188}.$$

The values for the individual coefficient multipliers are as follows:

$$\alpha^{22} = \alpha^7 + \alpha^6 + \alpha^5 + \alpha^3 + \alpha = [1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0]$$

$$\alpha^{47} = \alpha^5 + \alpha + 1 = [0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1]$$

$$\alpha^{230} = \alpha^7 + \alpha^6 + \alpha^5 + \alpha^4 + \alpha^2 = [1 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 0]$$

$$\alpha^{94} = \alpha^6 + \alpha^5 + \alpha^4 + 1 = [0 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1]$$

$$\alpha^{202} = \alpha^5 + \alpha^4 + \alpha^3 = [0 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0]$$

$$\alpha^{28} = \alpha^4 + \alpha^3 = [0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 0]$$

$$\alpha^{188} = \alpha^7 + \alpha^5 + \alpha^2 + 1 = [1 \ 0 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1]$$

The structure of dynamic feedback shift register C (130) is shown in Figure 6. The function of register C corresponds to that of register B shown in Figure 5, with finite field adders 221-227 of register C equivalent to the finite field adders 121-127 of register B of Figure 5 and finite field multipliers 230-245 of register C equivalent to finite field multipliers 200-215 of register B of Figure 5.

In this example implementation, there are also only two polynomials used in the structure of register C. The least significant bit (lsb) of Decoding Logic Unit 80 output is used to select one out of two polynomials from the dynamic FSR structure C:



$\text{Poly\_C0} = x^8 \oplus \alpha^{221} \otimes x^7 \oplus \alpha^{18} \otimes x^6 \oplus \alpha^{129} \otimes x^5 \oplus \alpha^{200} \otimes x^4 \oplus 0 \otimes x^3 \oplus \alpha^{124} \otimes x^2 \oplus \alpha^{25} \otimes x \oplus \alpha^{31}$ , where  $\alpha(X) = \alpha = X$  to obtain the 8-tuple  $j_7X^7 + j_6X^6 + j_5X^5 + j_4X^4 + j_3X^3 + j_2X^2 + j_1X + j_0$ .

5 The values for the individual coefficient multipliers are as follows:

$$\alpha^{221} = \alpha^6 + \alpha^2 + 1 = [0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1]$$

$$\alpha^{18} = \alpha^5 + \alpha^3 + \alpha^2 + 1 = [0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1]$$

$$\alpha^{129} = \alpha^4 + \alpha^2 + \alpha + 1 = [0 \ 0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1]$$

10  $\alpha^{200} = \alpha^4 + \alpha^3 + \alpha^2 = [0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 0 \ 0]$

$$\alpha^{124} = \alpha^7 + \alpha^4 + \alpha^2 + \alpha + 1 = [1 \ 0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1]$$

$$\alpha^{25} = \alpha + 1 = [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 1 \ 1]$$

$$\alpha^{31} = \alpha^7 + \alpha^6 = [1 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0]$$

The second polynomial used in the structure of register  
15 C is:

$\text{Poly\_C1} = x^8 \oplus \alpha^{39} \otimes x^7 \oplus \alpha^{203} \otimes x^6 \oplus 0 \otimes x^5 \oplus \alpha^{185} \otimes x^4 \oplus \alpha^{151} \otimes x^3 \oplus \alpha^{114} \otimes x^2 \oplus \alpha^7 \otimes x \oplus \alpha^{47}$ .

20 The following values for the individual coefficient multipliers are as follows:

$$\alpha^{39} = \alpha^5 + \alpha^4 + \alpha^2 + 1 = [0 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 1]$$

$$\alpha^{203} = \alpha^7 + \alpha^6 + \alpha^5 = [1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0]$$

$$\alpha^{185} = \alpha^5 + \alpha^4 + \alpha^2 + \alpha + 1 = [0 \ 0 \ 1 \ 1 \ 0 \ 1 \ 1 \ 1]$$

$$\alpha^{151} = \alpha^7 + \alpha^5 + \alpha^3 + \alpha = [1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0]$$

25  $\alpha^{114} = \alpha^5 + \alpha^4 + \alpha^3 + \alpha^2 + \alpha = [0 \ 0 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0]$

$$\alpha^7 = \alpha^7 = [1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0]$$

$$\alpha^{47} = \alpha^5 + \alpha + 1 = [0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1]$$

Referring back to Figure 3, the polynomial code signal 90 that is applied in the dynamic FSRs 120 and 130 varies in accordance with data bits shifted from a predetermined register stage. An F2 table 81 is used in the Decoding Logic Unit (DLU) 80 to generate the polynomial code signal.

The decoding logic unit 80 of Figure 3 uses the least significant bit (lsb) of F2 table output to select one of two polynomials from the dynamic FSRs 120, 130. In this case, 2 different polynomials are used in the B-register structure and C-register structure, and one polynomial is used in the A-register structure. Therefore, only one bit in every other pre-keystream byte after F2 table substitution is used directly to select between the two polynomials, POLY\_A0(POLY\_B0) and POLY\_A1(POLY\_B1).

Alternate bytes (8 bits) from the pre-keystream 45 are processed to vary the polynomial code signal 90, and the remaining bytes of the pre-keystream 45 are processed to provide the keystream 100. The bytes that cause the polynomial code signal 90 to be varied are not included in the keystream 100.

As discussed above in connection with Figure 2, in the embodiment described in Figure 3, the multiplexers

170-173 use the output from predetermined register stages of register C to select between data bytes shifted from different predetermined register stages of registers A and B to provide some of the inputs to the F1 tables (e.g., F1 tables 150, 153, 154, and 157). Each multiplexer 170-173 is a 2:1 multiplexer. For example, two output bits ( $RGA_0$  and  $RGA_7$ ) are shifted directly from different stages of register A to multiplexer 170. One of  $RGA_0$  and  $RGA_7$  is selected by multiplexer 170 in response to a data bit shifted from register C ( $RGC_6$ ). Similar operations take place at multiplexers 171, 172, and 173. The output of multiplexers 170, 171, 172, and 173 is provided to F1 tables 150, 153, 154, and 157 respectively.

Detailed multiplexer settings for multiplexers (MUX) 170 and 172 are shown in the table below.

**Table 2: MUX 170 and 172 Settings**

	SEL	A	B	Descriptions
<b>MUX 170</b>	RGC <sub>6</sub>	RGA <sub>0</sub>	RGA <sub>7</sub>	Output <sub>i</sub> = RGA <sub>0_i</sub> if RGC <sub>6_i</sub> = 0 Or = RGA <sub>7_i</sub> if RGC <sub>6_i</sub> = 1  Where i = 0, 1, ..., 7
<b>MUX 172</b>	RGC <sub>6</sub>	RGA <sub>1</sub>	RGA <sub>6</sub>	Output <sub>i</sub> = RGA <sub>1_i</sub> if RGC <sub>7_i</sub> = 0 Or = RGA <sub>6_i</sub> if RGC <sub>7_i</sub> = 1  Where i = 0, 1, ..., 7

Detailed multiplexer settings for multiplexers (MUX) 171 and 173 are shown in the table below.

5

**Table 3: MUX 171 and 173 Settings**

	SEL	A	B	Descriptions
<b>MUX 171</b>	RGC <sub>0</sub>	RGB <sub>1</sub>	RGB <sub>6</sub>	Output <sub>i</sub> = RGB <sub>1_i</sub> if RGC <sub>6_i</sub> = 0 Or = RGB <sub>6_i</sub> if RGC <sub>6_i</sub> = 1  Where i = 0, 1, ..., 7
<b>MUX 173</b>	RGC <sub>0</sub>	RGB <sub>0</sub>	RGB <sub>7</sub>	Output <sub>i</sub> = RGB <sub>0_i</sub> if RGC <sub>7_i</sub> = 0 Or = RGB <sub>7_i</sub> if RGC <sub>7_i</sub> = 1  Where i = 0, 1, ..., 7

Multiplexers may also be used to provide the address signal to the S-Box substitution function 165. For example, the inverse of MUX 170 (MUX 170<sup>-1</sup>) is used to select alternate bits from RGA<sub>0</sub> and RGA<sub>7</sub>. MUX 170<sup>-1</sup> is defined in the following table:

10

**Table 4: MUX 170<sup>-1</sup> Settings**

	SEL	A	B	Descriptions
<b>MUX 170<sup>-1</sup></b>	RGC <sub>6</sub>	RGA <sub>7</sub>	RGA <sub>0</sub>	Output <sub>i</sub> = RGA <sub>7_i</sub> if RGC <sub>6_i</sub> = 0 Or = RGA <sub>0_i</sub> if RGC <sub>6_i</sub> = 1  Where i = 0, 1, ..., 7

The inverse of MUX 173 (MUX 173<sup>-1</sup>) is used to select alternate bits from RGB<sub>0</sub> and RGB<sub>7</sub>. MUX 173<sup>-1</sup> is defined in the following table:

5

**Table 5: MUX 173<sup>-1</sup> Settings**

	SEL	A	B	Descriptions
<b>MUX 173<sup>-1</sup></b>	RGC <sub>0</sub>	RGB <sub>7</sub>	RGB <sub>0</sub>	Output <sub>i</sub> = RGB <sub>7_i</sub> if RGC <sub>6_i</sub> = 0 Or = RGA <sub>0_i</sub> if RGC <sub>6_i</sub> = 1  Where i = 0, 1, ..., 7

Two of eight register outputs from each shift register are tapped as direct inputs to the F1 tables 151, 152, 155, 156. No tap is used more than once. Input to the remaining F1 tables 150, 153, 154, and 157 are from the multiplexers 170, 171, 172, and 173 respectively.

The non-linear mix functions 161, 162 used to combine two bytes shifted directly from the register A or register B (RGA<sub>2</sub>/RGA<sub>4</sub>, RGB<sub>3</sub>/RGB<sub>5</sub>) with others that are from the F1 tables:

$$g0 = RGA'_{0|7} \otimes RGB'_{1|6} \oplus RGA_2 \otimes RGB'_4 \oplus RGA'_5 \otimes RGB_3$$

$$g1 = RGA'_{1|6} \otimes RGB'_{0|7} + RGA_4 \otimes RGB'_2 + RGA'_3 \otimes RGB_5$$

The  $RGA'_{0|7}$  is a field element constructed from selecting 8 bits from  $RGA_0$  and  $RGA_7$  based on  $RGC_6$ , and then passing the selected bits to the F1 table 150. By the same definition,  $RGB'_{1|6}$  is a field element constructed from selecting 8 bits from  $RGB_1$  and  $RGB_6$  based on  $RGC_0$ , and then passing the selected bits to the F1 table 153. The  $RGA'_{1|6}$  is a field element constructed from selecting 8 bits from  $RGA_1$  and  $RGA_6$  based on  $RGC_6$ , and then passing the selected bits to the F1 table 172.  $RGB'_{0|7}$  is a field element constructed from selecting 8 bits from  $RGB_0$  and  $RGB_7$  based on  $RGC_0$ , and then passing the selected bits to the F1 table 157.

The two non-linear functions  $g0$  and  $g1$  set forth above are constructed in accordance with the following rules:

- Terms multiplied together shall be from different register structures.
- At least one of two multiplication terms shall be from the F1 tables.

Referring to Figure 3, the  $8 * 8$  S-Box 165 is used to provide individual pre-keystream bytes 45 and codestream bytes 48 respectively from output terminal Y1 and Y0 in accordance with the contents of the output from the non-linear mix functions 161, 162 and two bytes of data from the A and B register structures. The

entries of the S-Box are a permutation of the numbers 0 through 255, and the address of the S-Box is a function of loop counter  $n$ ,  $g_0$ ,  $g_1$ ,  $RGB_{0|7}$ , and  $RGA_{0|7}$ .

The S-box is initialized linearly to  $S_0 = 0$ ,  $S_1 = 1$ , ...,  $S_{255} = 255$ . The S-box permutation process can be formulated in accordance with the following relationships:

```

n from 0 to runup_Cycles
    n = (n % 256);
10    Y0' = (g0 + SRGB7|0 + Sn) mod 256
        swap Si and SY0'
        Y1' = (g1 + SRGA7|0 + Sn) mod 256
        swap Si and SY1'
        t0 = (Sg1 + SY0') mod 256
15    t1 = (Sg0 + SY1') mod 256
        Y0 = St0 and Y1 = St1

```

The S-Box slowly evolves with the iterations of  $n$  to ensure that every element changes, and also with the use of  $(g_0, g_1, RGB_{0|7}, RGA_{0|7}, Y0', Y1')$  to ensure that the elements change randomly.

The Codestream Register 175 receives codestream bits 48 from the Y1 output terminal of the S-Box 165 in response to the address signal from the A and B structures, and also from the non-linear mix functions 161, 162. The codestream bytes 48 are clocked through the Codestream Register 175, and added by a non-binary adder (XOR) to the data bytes  $(RGB_7, RGC_7)$  shifted from

the MSB output stage (stage 7) of register B and register C. This process provides a feedback data byte, which is then fed back both to the input stage (stage 0) and to predetermined intermediate stages of registers B and C in accordance with the applied polynomial code 90.

Keystream bytes 100 from the Keystream Register 60 are produced based on the control clock, CLOCK. These bytes are assembled to form the 7 bytes or 56 bits of output only after the algorithm has had adequate mixing time to circulate data among the FSRs. The amount of mixing time is measured in the number of clock cycles of CLOCK between the time FSRs A, B, and C are initialized, and the time that the Keystream Register 60 byte output is stored. The initialization takes place at time T=0, where time is measured in CLOCK cycles. After initialization, 701 CLOCK cycles may occur before the first byte of Keystream Register 60 output is stored. Thereafter, output bytes may be stored after the completion of the following additional cycles:



**Table 6: CLOCK Cycles from Initialization to Output Storage**

CLOCK Cycle Number	Event	CLOCK Cycle Number	Event
0	Initialize FSR A, B, and C	$701 + 126 = 827$	Store output byte 3
701	Store output byte 0	$701 + 142 = 843$	Store output byte 4
$701 + 30 = 731$	Store output byte 1	$701 + 580 = 1281$	Store output byte 5
$701 + 70 = 771$	Store output byte 2	$701 + 636 = 1337$	Store output byte 6

The embodiments of the invention described above  
 5 are selected preferred embodiments and are not intended  
 to provide a limitation on the scope of the invention.  
 It should be appreciated that many variations of the  
 implementations described above are available. For  
 example, the invention described herein can be  
 10 implemented using a wide variety of input key sizes. In  
 addition, various Galois field selections may be used  
 to implement the invention. The number of static and/or  
 dynamic feedback shift registers used may vary, as well  
 as the number of register stages for each such shift  
 15 register. The number of randomization stages may vary,  
 as well as the functionality contained within each  
 stage (e.g., number of multiplexers, number of S-Boxes,  
 number of randomization tables, and the like). Other  
 implementation complexities can be varied or changed

consistent with the general description of the invention described above.

It should now be appreciated that the present invention provides improved methods and apparatus for  
5 generating a cryptographically robust keystream for use in data encryption and decryption.

Although the invention has been described in connection with various illustrated embodiments, numerous modifications and adaptations may be made thereto without departing from the spirit and scope of  
10 the invention as set forth in the claims.

What is claimed is:

1. A method of generating a keystream, comprising the steps of:

providing data bits from predetermined register stages of multiple feedback shift registers to a first randomization stage, each feedback shift register having input, intermediate, and output stages through which the data bits are shifted serially in response to a clock signal;

providing output of said first randomization stage to a second randomization stage;

providing output of said second randomization stage and data bits from other predetermined register stages of said feedback shift registers to at least one additional randomization stage, wherein,

said data bits are permuted at each randomization stage and output of a final randomization stage provides said keystream.

2. A method in accordance with claim 1, wherein a third randomization stage is the final randomization stage.

3. A method in accordance with claim 1, further comprising the step of:

varying at least one feedback shift register structure in response to a polynomial code signal generated by a polynomial code signal generator.

4. A method in accordance with claim 3, further comprising the step of:

varying the polynomial code used to generate the polynomial code signal.

5. A method in accordance with claim 1, wherein the feedback shift registers comprise:

a plurality of dynamic feedback shift registers;  
and

at least one static feedback shift register.

6. A method in accordance with claim 1, wherein the feedback shift registers comprise:

a first dynamic feedback shift register;  
a second dynamic feedback shift register; and  
a static feedback shift register.

7. A method in accordance with claim 6, further comprising the steps of:

inputting seed data into an input buffer;  
providing a first portion of the seed data from the input buffer to the first dynamic feedback shift register;

providing a second portion of the seed data from the input buffer to the second dynamic feedback shift register; and

providing a third portion of the seed data from the input buffer to the static feedback shift register.

8. A method in accordance with claim 7, wherein:

the data bits of the dynamic feedback shift registers are shifted serially from each register stage in response to a clock signal;

a number of finite field adders are arranged between predetermined pairs of the register stages of the dynamic feedback shift registers, such that one of the inputs to each adder is provided from the preceding register stage and the other input of each adder is fed back from the output terminal of the output stage via a finite field multiplier.

9. A method in accordance with claim 1, wherein the first randomization stage comprises a randomization table for permuting data bits from predetermined register stages.

10. A method in accordance with claim 1, wherein the second randomization stage comprises a non-linear mixing function to combine the output of said second randomization stage and data bits from other

predetermined register stages of said feedback shift registers.

11. A method in accordance with claim 1, wherein the third randomization stage comprises multiple non-linear S-Boxes.

12. A method in accordance with claim 11, wherein the S-Boxes are 8\*8 S-Boxes.

13. A method in accordance with claim 1, wherein the third randomization stage comprises 256 non-linear 8\*8 S-Boxes.

14. A method in accordance with claim 1, wherein the feedback shift registers are constructed using an extended Galois field ( $GF(2^m)$ ).

15. A method in accordance with claim 14, wherein m equals eight.

16. A method in accordance with claim 14, wherein the polynomials used for the feedback shift registers are primitive and irreducible.

17. A method in accordance with claim 1, wherein the first randomization stage comprises multiple

randomization tables for permuting data bits from predetermined register stages.

18. A method in accordance with claim 17, wherein the multiple randomization tables comprise eight randomization tables.

19. A method in accordance with claim 1, further comprising the step of:

    multiplexing the data bits from the feedback shift registers prior to input into the first randomization stage.

20. A method in accordance with claim 1, further comprising the steps of;

    providing the output from the third randomization stage to a pre-keystream register;

    providing alternate bits of the output from said pre-keystream register to a select chain buffer;

    providing output from the select chain buffer to a decoding logic unit, which decoding logic unit decodes a particular polynomial for use in generating a polynomial code signal, said polynomial code signal being provided to at least one feedback shift register; and

    providing the remaining bits of the output from said pre-keystream register to a keystream register,

wherein the output of the keystream register provides said keystream.

21. A method in accordance with claim 20, wherein the third randomization stage comprises multiple non-linear S-Boxes.

22. A method in accordance with claim 21, further comprising the steps of:

providing certain output of the S-Boxes to a codestream register;

clocking said output through said codestream register;

adding said output to data bits shifted from at least one of the feedback shift registers via a non-binary adder to produce feedback data bits;

providing the feedback data bits to the input stage and predetermined intermediate stages of at least one of the feedback shift registers.

23. A method in accordance with claim 1, wherein:

a 192 bit shared seed input key is provided to the multiple feedback shift registers; and

a 56 bit keystream output is generated.



24. A method in accordance with claim 23, wherein the 192 bit shared seed input key is derived from a 128 bit input by duplicating half the bits.

25. A keystream generator apparatus, comprising:

multiple feedback shift registers, each feedback shift register having input, intermediate, and output stages through which data bits are shifted serially in response to a clock signal;

a first randomization stage which receives output from predetermined register stages of the multiple feedback shift registers;

a second randomization stage which receives output from said first randomization stage;

a third randomization stage which receives output from said second randomization stage and data bits from other predetermined register stages of said feedback shift registers, wherein,

said data bits are permutated at each randomization stage and output of a final randomization stage provides said keystream.

26. Apparatus in accordance with claim 25, wherein the third randomization stage is the final randomization stage.

27. Apparatus in accordance with claim 25, wherein:

at least one feedback shift register structure is varied in response to a polynomial code signal generated by a polynomial code signal generator.

28. Apparatus in accordance with claim 27, wherein:

the polynomial code used to generate the polynomial code signal is varied.

29. Apparatus in accordance with claim 25, wherein the feedback shift registers comprise:

a plurality of dynamic feedback shift registers;  
and  
at least one static feedback shift register.

30. Apparatus in accordance with claim 25, wherein the feedback shift registers comprise:

a first dynamic feedback shift register;  
a second dynamic feedback shift register; and  
a static feedback shift register.

31. Apparatus in accordance with claim 30, wherein:

seed data is input into an input buffer;  
a first portion of the seed data from the input buffer is provided to the first dynamic feedback shift register;

a second portion of the seed data from the input buffer is provided to the second dynamic feedback shift register; and

a third portion of the seed data from the input buffer is provided to the static feedback shift register.

32. Apparatus in accordance with claim 31, wherein:

the data bits of the dynamic feedback shift registers are shifted serially from each register stage in response to a clock signal;

a number of finite field adders are arranged between predetermined pairs of the register stages of the dynamic feedback shift registers, such that one of the inputs to each adder is provided from the preceding register stage and the other input of each adder is fed back from the output terminal of the output stage via a finite field multiplier.

33. Apparatus in accordance with claim 25, wherein the first randomization stage comprises a randomization table for permuting data bits from predetermined register stages.

34. Apparatus in accordance with claim 25, wherein the second randomization stage comprises a non-linear mixing function to combine the output of said second

randomization stage and data bits from other predetermined register stages of said feedback shift registers.

35. Apparatus in accordance with claim 25, wherein the third randomization stage comprises multiple non-linear S-Boxes.

36. Apparatus in accordance with claim 35, wherein the S-Boxes are 8\*8 S-Boxes.

37. Apparatus in accordance with claim 25, wherein the third randomization stage comprises 256 non-linear 8\*8 S-Boxes.

38. Apparatus in accordance with claim 25, wherein the feedback shift registers are constructed using an extended Galois field ( $GF(2^m)$ ).

39. Apparatus in accordance with claim 38, wherein m equals eight.

40. Apparatus in accordance with claim 38, wherein the polynomials used for the feedback shift registers are primitive and irreducible.

41. Apparatus in accordance with claim 25, wherein the first randomization stage comprises multiple randomization tables for permuting data bits from predetermined register stages.

42. Apparatus in accordance with claim 41, wherein the multiple randomization tables comprise eight randomization tables.

43. Apparatus in accordance with claim 25, wherein:  
the data bits from the feedback shift registers are multiplexed prior to input into the first randomization stage.

44. Apparatus in accordance with claim 25, further comprising;

a pre-keystream register for receiving the output from the third randomization stage;

a select chain buffer for receiving alternate bits of the output from said pre-keystream register;

a decoding logic unit for receiving output from the select chain buffer, which decoding logic unit decodes a particular polynomial for use in generating a polynomial code signal, said polynomial code signal being provided to at least one feedback shift register; and

a keystream register for receiving the remaining bits of the output from said pre-keystream register, wherein output of the keystream register provides said keystream.

45. Apparatus in accordance with claim 44, wherein the third randomization stage comprises multiple non-linear S-Boxes.

46. Apparatus in accordance with claim 45, wherein:

certain output of the S-Boxes is provided to a codestream register;

said output is clocked through said codestream register;

said output is added to data bits shifted from at least one of the feedback shift registers via a non-binary adder to produce feedback data bits; and

said feedback data bits are provided to the input stage and predetermined intermediate stages of at least one of the feedback shift registers.

47. Apparatus in accordance with claim 25, wherein:

a 192 bit shared seed input key is provided to the multiple feedback shift registers; and

a 56 bit keystream output is generated.

48. Apparatus in accordance with claim 25, wherein the 192 bit shared seed input key is derived from a 128 bit input by duplicating half the bits.

ABSTRACT

Methods and apparatus for the generation of a cryptographic one way function (a key or keystream generator) for use in encrypting or decrypting binary data. A non-linear key or keystream generation algorithm using multiple feedback shift registers is provided. The feedback shift registers may be constructed utilizing an advanced mathematical construct called an extended Galois Field  $GF(2^m)$ . The key or keystream is generated as a non-linear function of the outputs of the multiple feedback shift registers, which may be a combination of static feedback shift registers and dynamic feedback shift registers. Dense primitive polynomials with many coefficients may be used to produce a cryptographically robust keystream for use as an encryption or decryption key.



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

QIU, et al.

Application No.:

Filed: Herewith

For: METHODS AND APPARATUS FOR KEYSTREAM GENERATION

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EL 628 666 890 US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on:

November 21, 2000

By:

Cathy Dunne  
Cathy Dunne

**DRAWING REVIEW BRANCH**

Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWING(S)

Dear Sir:

Enclosed are EIGHT (8) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Barry R. Lipsitz

Barry R. Lipsitz

Attorney for Applicant(s)

Registration No. 28,637

755 Main Street, Bldg. No. 8

Monroe, CT 06468

(203) 459-0200

Date: November 21, 2000

ATTORNEY DOCKET NO.: GIC-609

DATA BITS FROM  
PREDETERMINED  
REGISTER STAGES

DATA BITS FROM OTHER  
PREDETERMINED  
REGISTER STAGES

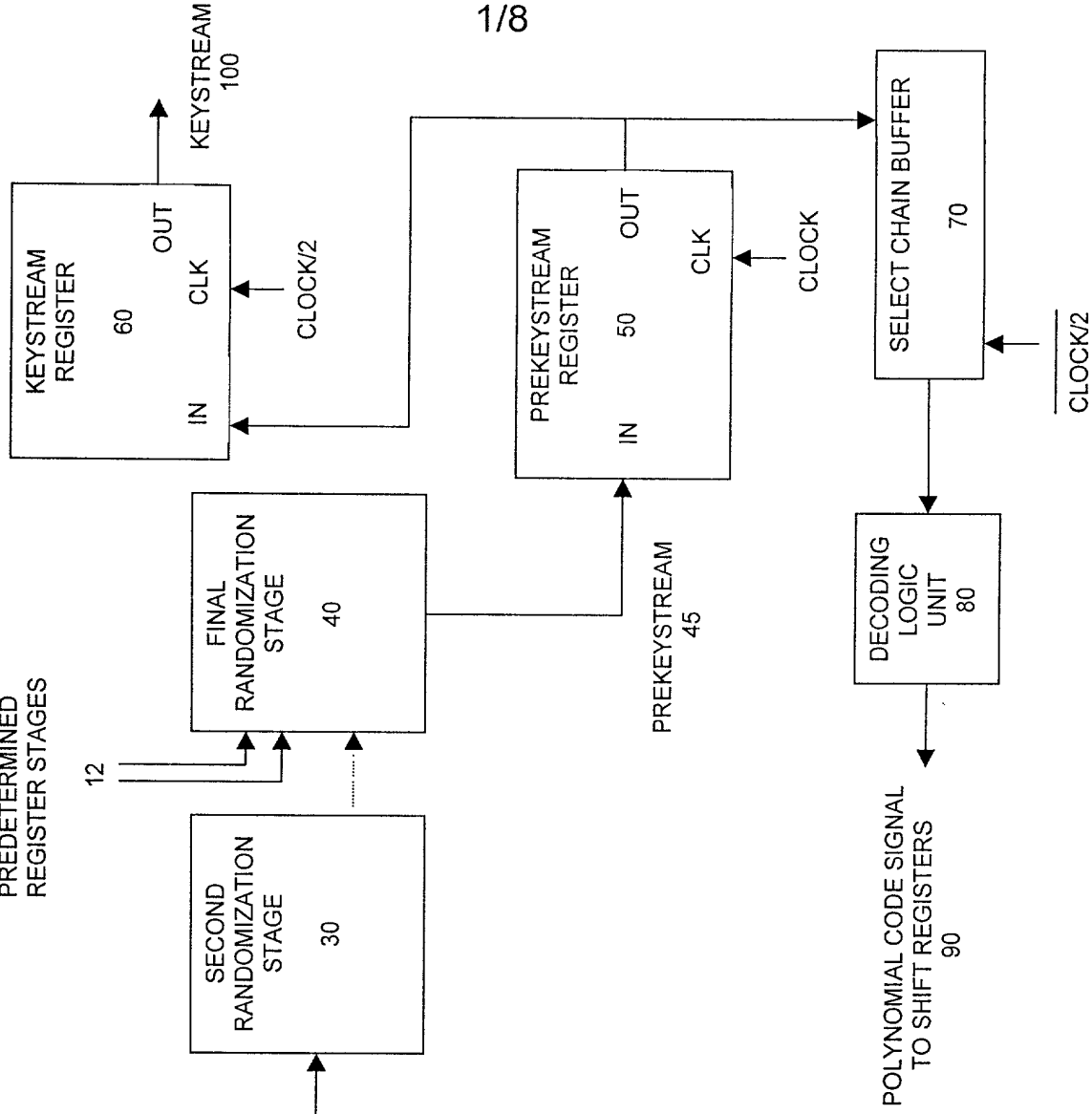


FIG. 1

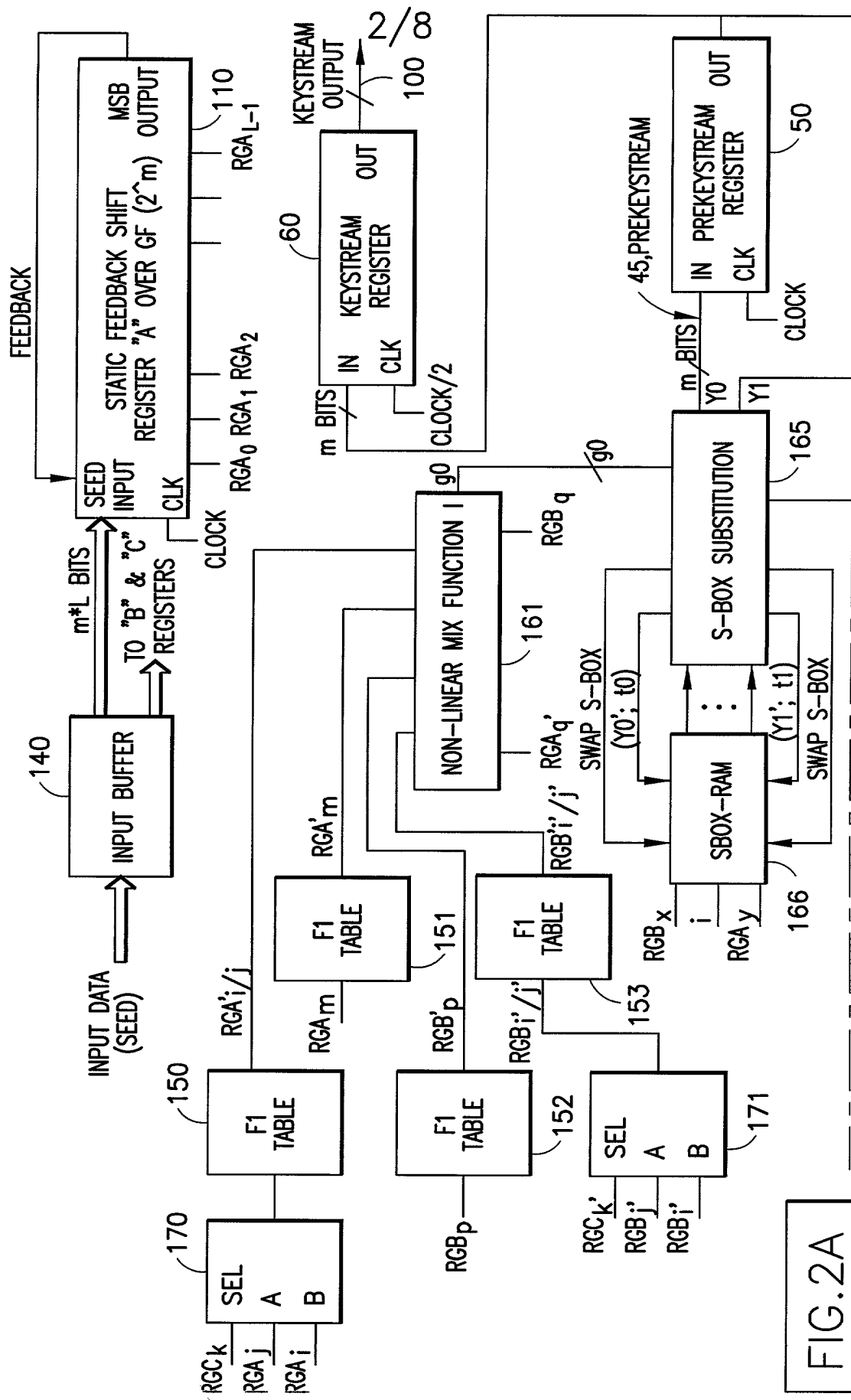


FIG. 2A

FIG. 2

FIG. 2A

FIG. 2B

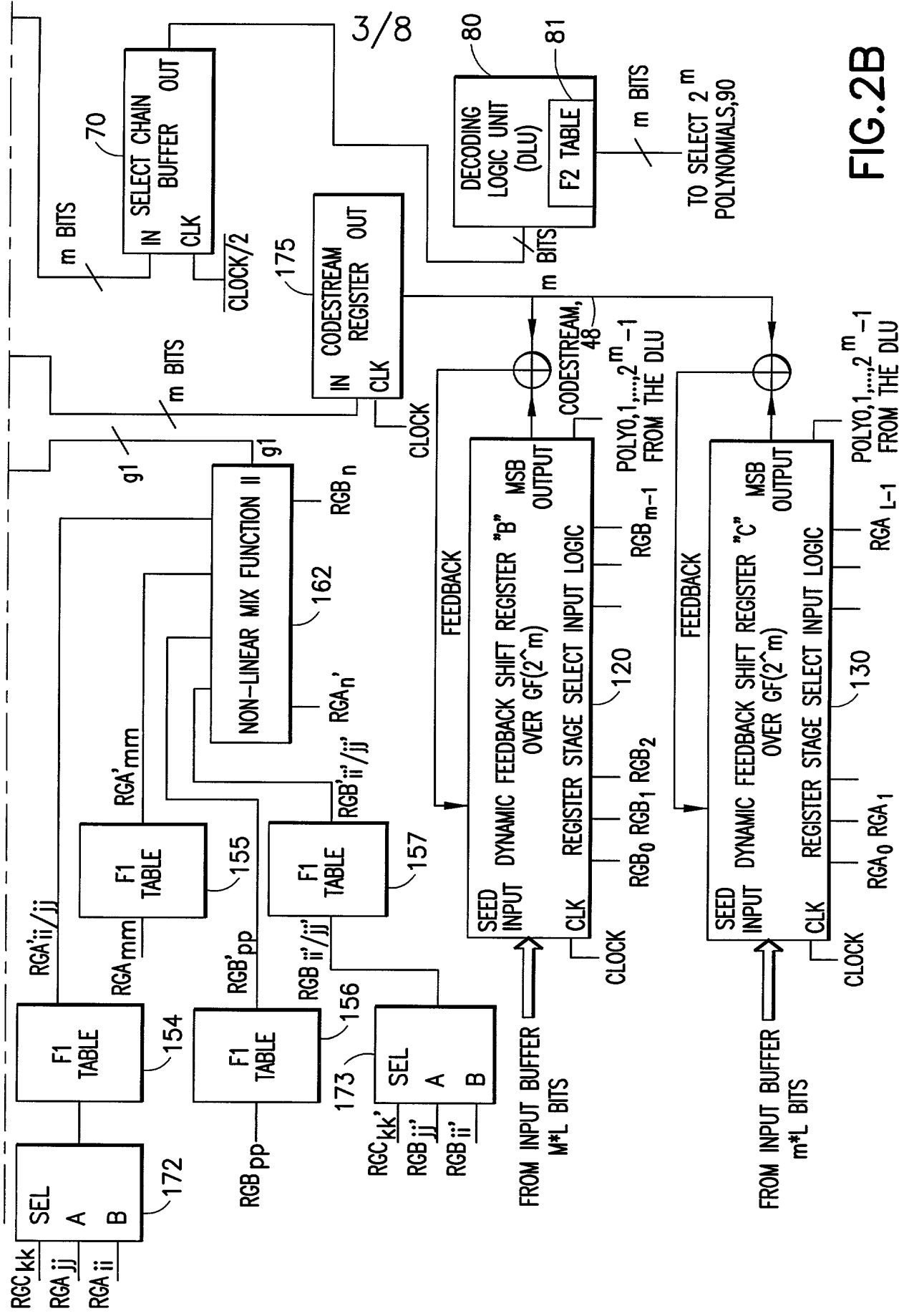


FIG. 2B

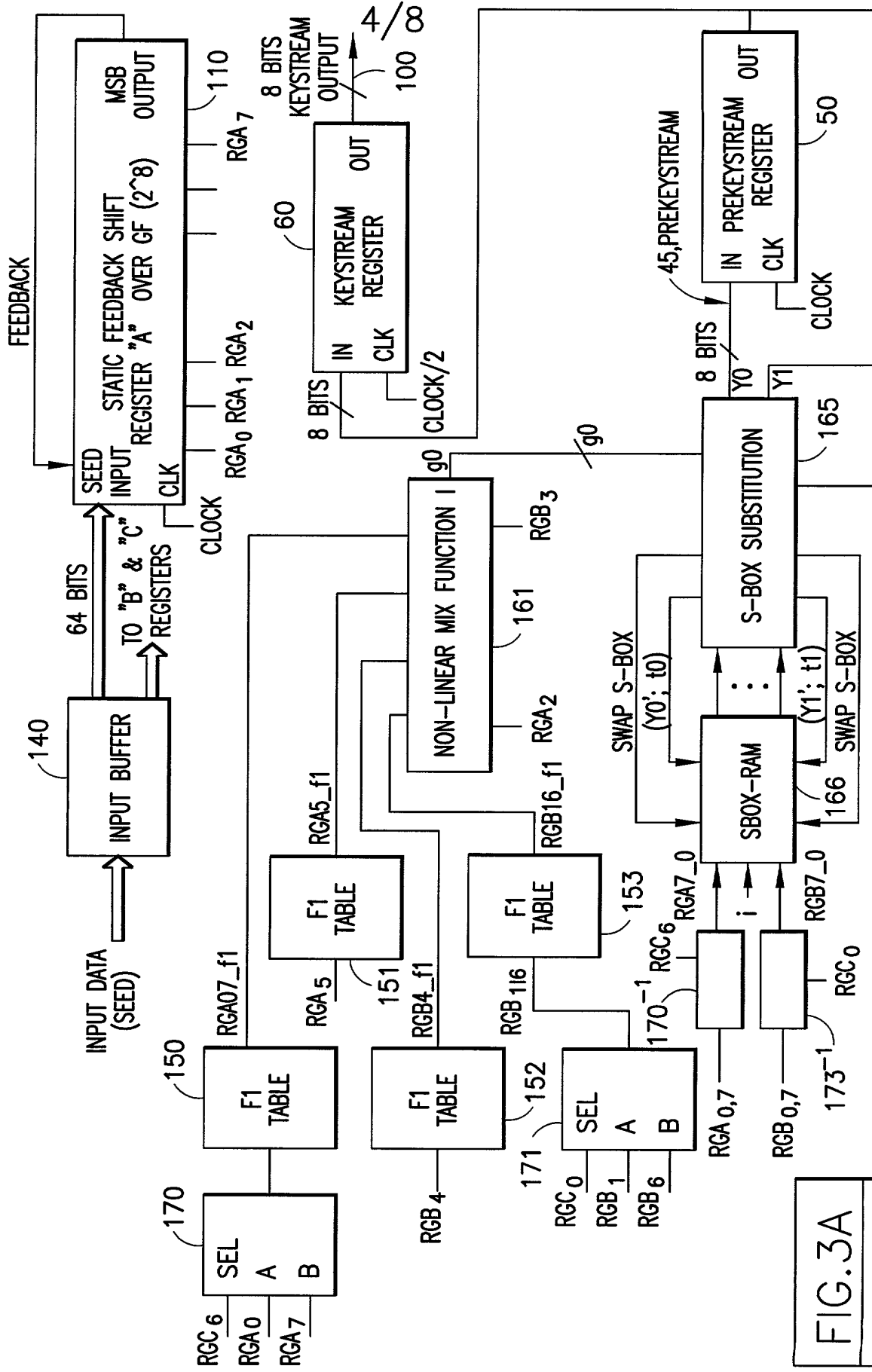
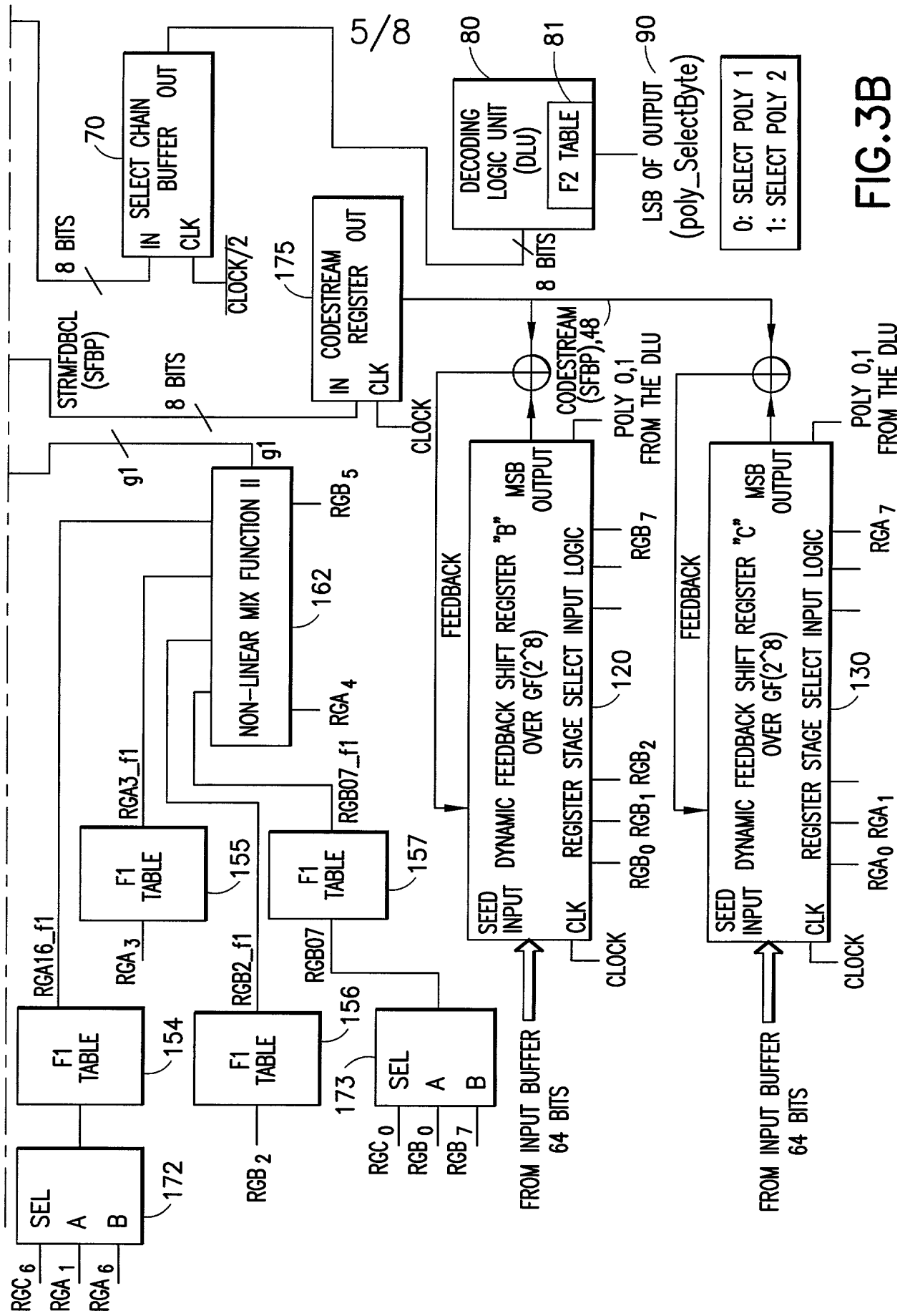


FIG. 3A

FIG. 3B





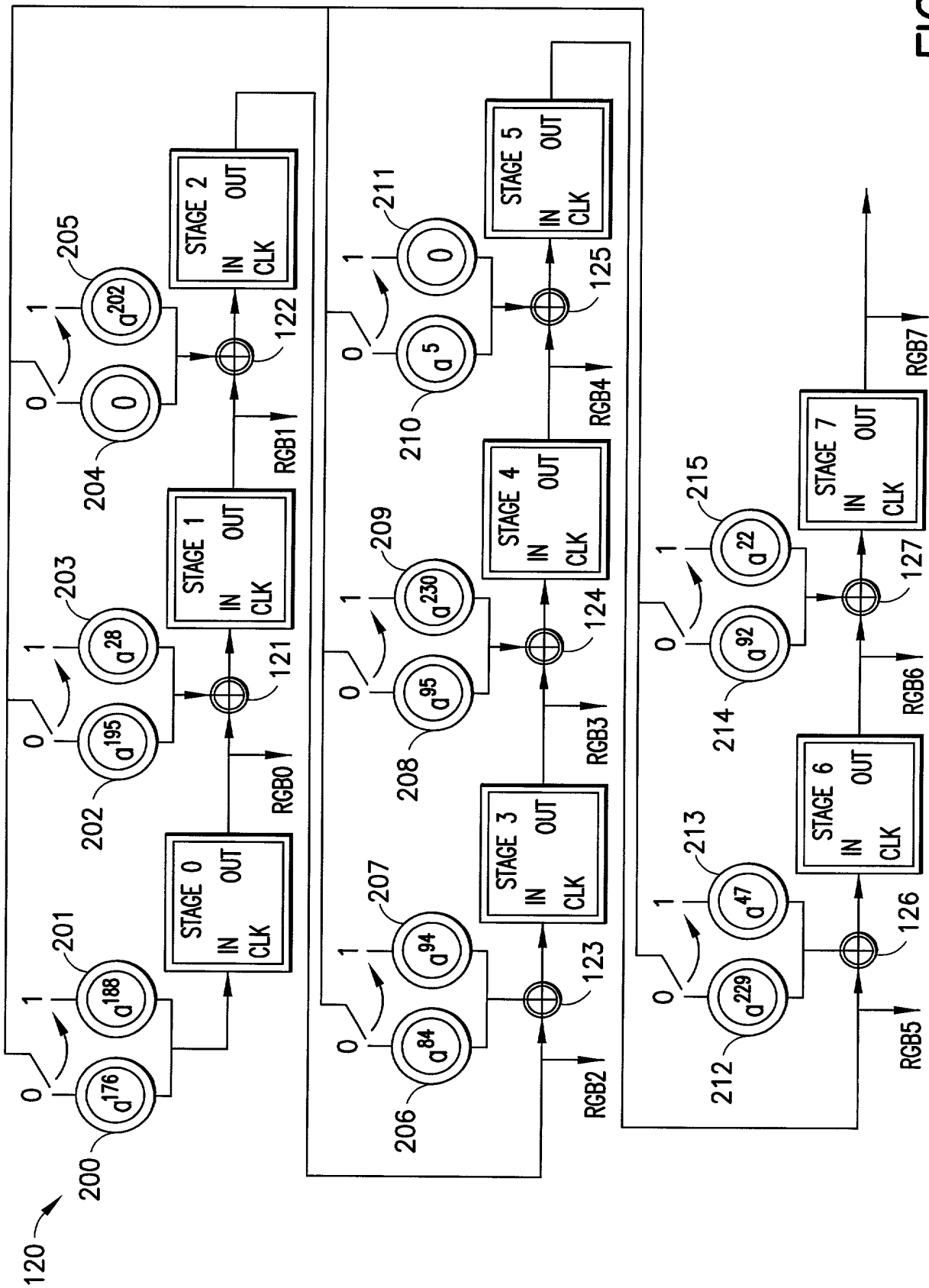


FIG. 5



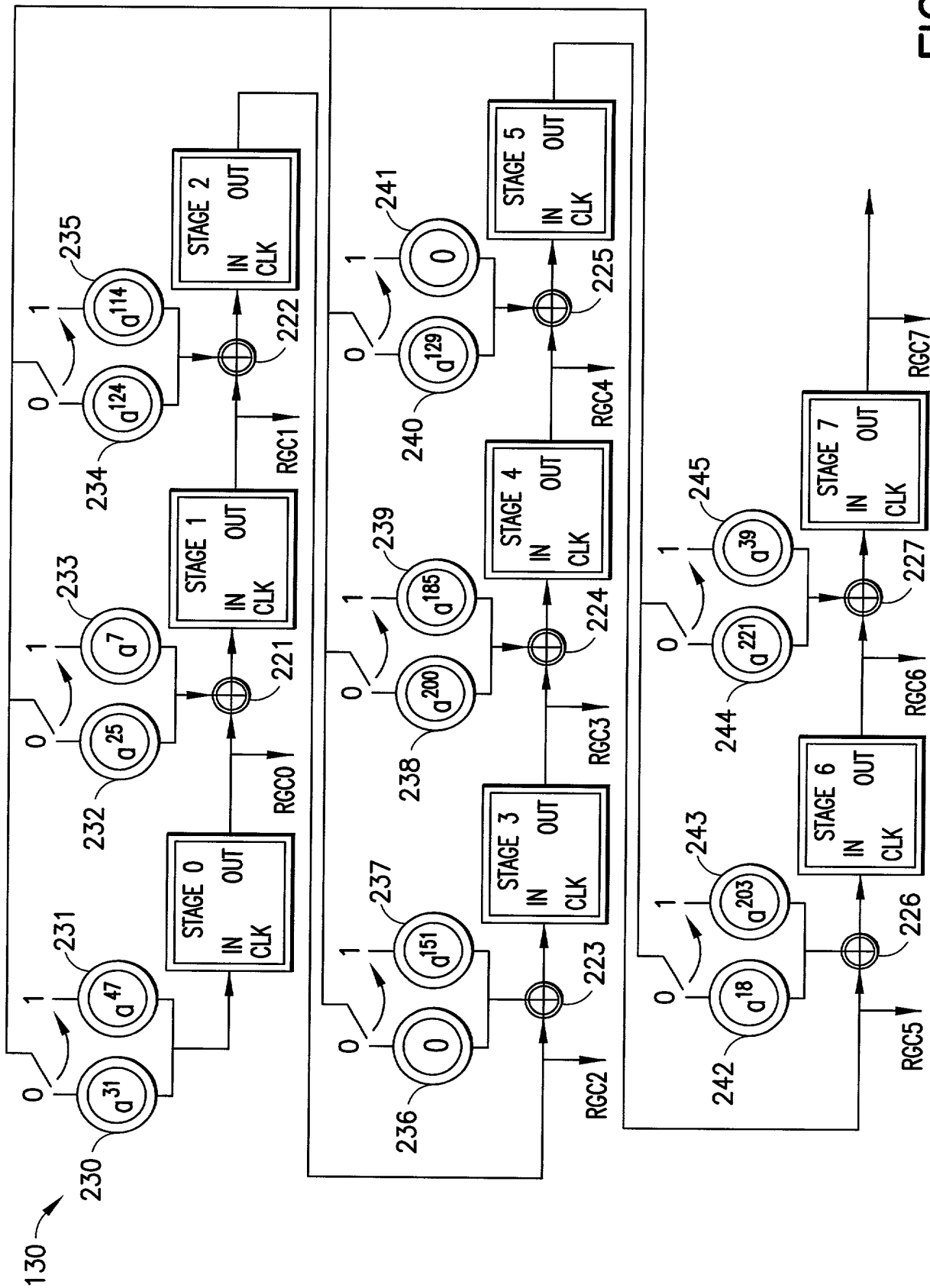


FIG. 6

# DECLARATION, POWER OF ATTORNEY, AND PETITION

Attorney Docket No.: GIC-609

Page 1 of 2

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## METHODS AND APPARATUS FOR KEYSTREAM GENERATION

the specification of which is attached hereto unless the following box is checked:

[ ] was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_ or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

Priority Claimed

(Number)	(Country)	Month/Day/Year Filed	Yes	No

(Number)	(Country)	Month/Day/Year Filed	Yes	No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

**60/167,185**

**November 23, 1999**

(Application Number)

(Filing Date) - Month/Day/Year

(Application Number)

(Filing Date) - Month/Day/Year

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**U.S. Parent Application  
or PCT Parent Number**

**Parent Filing Date  
(MM/DD/YYYY)**

**Parent Patent Number  
(if applicable)**

And I hereby appoint: Barry R. Lipsitz, Registration No. 28,637 and Douglas M. McAllister, Registration No. 37,886, all of the firm of Barry R. Lipsitz, Attorney at Law, 755 Main Street, Bldg. 8, Monroe, Connecticut 06468, Telephone (203) 459-0200, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of sole or first inventor: Xin QIU**

Inventor's Signature  Date: 11/18/2000

Residence San Diego California U.S.A.  
(City) (State or Foreign Country) Citizenship:

Post Office Address 10259 Harvest View Way San Diego, California 92128, U.S.A.  
(Post Office Address) (City) (State & Zip Code/Country)

**Full name of second joint inventor: Eric J. SPRUNK**

Inventor's Signature \_\_\_\_\_ Date: \_\_\_\_\_

Residence Carlsbad California U.S.A.  
(City) (State or Foreign Country) Citizenship:

Post Office Address 6421 Cayenne Lane Carlsbad, California 92009, U.S.A.  
(Post Office Address) (City) (State & Zip Code/Country)

# DECLARATION, POWER OF ATTORNEY, AND PETITION

Attorney Docket No.: GIC-609

Page 1 of 2

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## METHODS AND APPARATUS FOR KEYSTREAM GENERATION

the specification of which is attached hereto unless the following box is checked:

[ ] was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_ or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

(Number)	(Country)	Month/Day/Year Filed	Priority Claimed
			[ ] [ ]
			Yes No
			[ ] [ ]
			Yes No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

60/167,185

November 23, 1999

(Application Number)

(Filing Date) - Month/Day/Year

(Application Number)

(Filing Date) - Month/Day/Year

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application  
or PCT Parent Number

Parent Filing Date  
(MM/DD/YYYY)

Parent Patent Number  
(if applicable)

And I hereby appoint: Barry R. Lipsitz, Registration No. 28,637 and Douglas M. McAllister, Registration No. 37,886, all of the firm of Barry R. Lipsitz, Attorney at Law, 755 Main Street, Bldg. 8, Monroe, Connecticut 06468, Telephone (203) 459-0200, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of sole or first inventor:** Xin QIU

Inventor's Signature \_\_\_\_\_ Date: \_\_\_\_\_

Residence San Diego California U.S.A.  
(City) (State or Foreign Country) Citizenship: \_\_\_\_\_

Post Office Address 10259 Harvest View Way San Diego, California 92128, U.S.A.  
(Post Office Address) (City) (State & Zip Code/Country)

**Full name of second joint inventor:** Eric J. SPRUNK

Inventor's Signature Eric J. Sprunk Date: 11/16/00

Residence Carlsbad California U.S.A.  
(City) (State or Foreign Country) Citizenship: \_\_\_\_\_

Post Office Address ~~6421 Cayenne Lane~~ 7309 BOLERO STREET Carlsbad, California 92009, U.S.A.  
(Post Office Address) (City) (State & Zip Code/Country)